



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JPW

Applicant: Lloyd Leon Burch et al.

Title: TECHNIQUES FOR DYNAMICALLY ESTABLISHING AND MANAGING
AUTHENTICATION AND TRUST RELATIONSHIPS

Docket No.: 1565.070US1

Serial No.: 10/765,523

Filed: January 27, 2004

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2131

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ A Communication Concerning Related Applications (2 pgs.).
- ☒ A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (2 pgs.), and copies of 9 cited documents.
- ☒ Copy of European Search Report for Corresponding foreign Application No. EP 04 10 6396 (4 pgs.).

Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

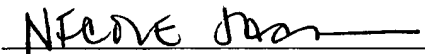
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By: 

Atty: Joseph P. Mehrle

Reg. No. 45,535

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 22 day of June, 2005.


Name


Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)



S/N 10/765,523

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lloyd Leon Burch et al.	Examiner:	Unknown
Serial No.:	10/765,523	Group Art Unit:	2131
Filed:	January 27, 2004	Docket:	1565.070US1
Title:	TECHNIQUES FOR DYNAMICALLY ESTABLISHING AND MANAGING AUTHENTICATION AND TRUST RELATIONSHIPS		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Several of the attached documents were discovered as a result of a European Search Report in Applicants' corresponding foreign patent application. Enclosed for the Examiner's information is a copy of the cited documents and the Search Report.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

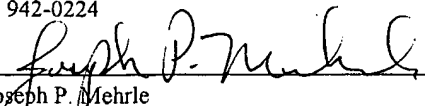
Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,
LLOYD LEON BURCH ET AL.

By their Representatives,
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Minneapolis, MN 55402
(513) 942-0224

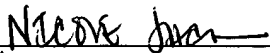
Date 6-20-05

By


Joseph P. Mehrle
Reg. No. 45,535

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Name



Signature





S/N 10/765,523

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lloyd L. Burch et al. Examiner: Unknown
Serial No.: 10/765,523 Group Art Unit: 2131
Filed: January 27, 2004 Docket: 1565.070US1
Title: TECHNIQUES FOR DYNAMICALLY ESTABLISHING AND MANAGING
AUTHENTICATION AND TRUST RELATIONSHIPS

COMMUNICATION CONCERNING RELATED APPLICATIONS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
10/676,231	September 30, 2003	1565.060US1	POLICY AND ATTRIBUTE BASED ACCESS TO A RESOURCE
10/770,677	February 3, 2004	1565.072US1	TECHNIQUES FOR DYNAMICALLY ESTABLISHING AND MANAGING TRUST RELATIONSHIPS
10/813,505	March 30, 2004	1565.073US1	DISTRIBUTED DYNAMIC SECURITY FOR DOCUMENT COLLABORATION
11/145,704	June 6, 2005	1565.094US1	TECHNIQUES FOR PROVIDING ROLE-BASED SECURITY WITH INSTANCE-LEVEL GRANULARITY

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/763,523

Filing Date: January 27, 2004

Title: TECHNIQUES FOR DYNAMICALLY ESTABLISHING AND MANAGING AUTHENTICATION AND TRUST RELATIONSHIPS

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Continuations and divisionals may be later filed on the cases listed above, or cited to the Examiner in any previous Communication Concerning Related Applications. Applicants request that the Examiner review all continuations and divisionals of the above-listed or previously-cited patent applications before allowing the claims of the present patent application.

Respectfully submitted,

LLOYD L. BURCH ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

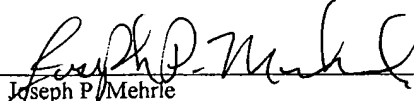
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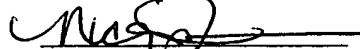
Date 6-20-05

By


Joseph P. Mehrle
Reg. No. 45,535

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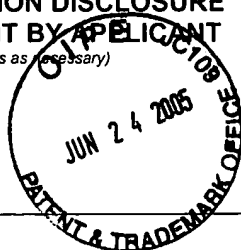

Name


Signature

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/765,523
Filing Date	January 27, 2004
First Named Inventor	Burch, Lloyd
Group Art Unit	2131
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: 1565.070US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2001/0034841A1	10/25/2001	Shambroom, W. D.	12/21/2000
	US-2002/0052798A1	05/02/2002	Nishikado, Takashi , et al.	08/17/2001
	US-2002/0095571A1	07/18/2002	Bradee, Robert L.	01/18/2001
	US-2003/0002521A1	01/02/2003	Traversat, Bernard A., et al.	01/22/2002
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	US-2005/0068983A1	03/31/2005	Cater, S. R., et al.	09/30/2003
	US-5,544,322	08/06/1996	Cheng, Pau-Chen , et al.	05/09/1994
	US-5,787,175	07/28/1998	Carter, Stephen R.	10/23/1995
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	US-5,999,711	12/07/1999	Misra, , et al.	07/18/1994
	US-6,014,135	01/11/2000	Fernandes, Antonio M.	04/04/1997
	US-6,108,788	08/22/2000	Moses, Timothy , et al.	12/08/2000
	US-6,119,230	09/12/2000	Carter, Stephen R.	10/01/1997
	US-6,263,446	07/17/2001	Kausik, Balas , et al.	11/19/1998
	US-6,430,575	08/06/2002	Dourish, J. Paul , et al.	09/10/1999
	US-6,515,316	02/04/2003	Wojtowicz, Michael , et al.	07/14/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
	WO-00/79432A1	12/28/2000	Shambroom, W. D.	

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"EP Search Report", Received in related matter EP 04104137.7, (March 1, 2005), 9 pgs.	
		"European Search Report, Application No. EP 04 10 6396, date mailed April 28, 2005", 4 Pages	
		AARTS, ROBERT, et al., "Liberty ID-FF Bindings and Profiles Specification", Liberty Alliance Project, Version 1.2, (2003), 1-61	
		AARTS, ROBERT, et al., "Liberty ID-FF Protocols and Schema Specification", Liberty Alliance Project, Version 1.2, (2003), 1-46	
		GOODWIN, R., et al., "Instance-Level access control for Business to-Business Electronic Commerce", PowerPoint Presentation, Presented By Vandana Janeja, (2001), 1-48	
		HODGES, JEFF, et al., "Oasis SSTC: SAML Security Considerations", Internet Article, 'Online!', www.oasis-open.org, retrieved 02/07/2005, Sections 5.2.1.1 and 5.4.2, XP002316601, (November 14, 2001), 1-22	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/765,523
Filing Date	January 27, 2004
First Named Inventor	Burch, Lloyd
Group Art Unit	2131
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 1565.070US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MADSEN, PAUL, et al., "Liberty Metadata Description and Discovery Specification", <u>Liberty Alliance Project, Version 1.0</u> , (2003), 1-33	
		SUN MICROSYSTEMS, INC., "Java? 2 Platform Enterprise Edition Specification, v1.4", <u>Sun Microsystems, Inc. User Manual</u> , Final Release, (November 24, 2003), 1-246	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached